

Appendix D

Template for Risk Mitigation Plan

Date of Report:

1. Contact Information

Principal Investigator (Last, First, MI):

Department:
Mailing Address:
Phone:
Fax:
E-mail:

Institutional Contact for the Authorized Institutional Official

Name:
E-mail:
Phone:
Fax:

Institutional Contact for Dual Use Research (ICDUR)

Name
E-mail:
Phone:
Fax:

2. Prior Review Information

Date of the IRE review:
Details of the IRE review and assessment:

Date of the Principal Investigator's review:
Details of the Principal Investigator's assessment:

Identification of DURC:

3. Risk identification and Mitigation:

Details of the risks identified by the IRE following review and explanation of the risk mitigation strategies that will be implemented by the University/Principal Investigator to address those risks:

Include any other applicable material, such as proposals and progress reports that will provide sufficient details on the research in question to enable the funding agency to assess the risk mitigation plan for managing the risks associated with DURC.